UNITED ARTS

FY25 Community Project Grant Guidelines

Application Window: June 1 – July 14, 2024 Grant Activity Period: October 1, 2024 – September 30, 2025

Community Project Grants provide competitive funding up to \$5,000 to support specific, relevant, and meaningful arts, science, and history experiences of value to the communities you serve. For the purposes of this funding opportunity, United Arts defines "community" as any group that your organization is intentionally working to serve (e.g. members, students, artists, participants, audience, geographic community, ethnic heritage, or cultural traditions).

Funds may be used to create, perform, exhibit, or publish artistic works or to present arts, history, or science events by contracting with services or other organizations or individuals and will require a public-facing component that increases access to art and cultural experiences.

Open to **both** 501(c)(3) Organizations and Individual Artists, Community Project Grants:

- Increase access to and participation in arts, culture, history, or science programming within our communities.
- Act as a catalyst for positive change through arts and culture-based creative community development.
- Amplify diverse cultures, voices, and experiences.

What's New This Cycle?

- This round of Community Project Grants is leveraging funds from the National Endowment of the Arts.
 - All organizations must have a valid Unique Entity ID number to receive federal funds.
 - Organizations receiving federal funds must be a 501(c)(3). Fiscal Sponsors are not eligible to receive federal funds.
 - o Awarded grantees will be required to complete the Arts and Accessibility Section 504 self-evaluation.
 - Awarded grantees will be required to submit an activity review questionnaire for compliance with the National Historic Preservation Act (NHPA) and/or National Environmental Policy Act (NEPA) before any offered funding can be released.
 - Note that if your venue or location changes you will need to submit a Change Request with UA **as well as** complete an additional NHPA/NEPA compliance questionnaire.
- Operating Support grantees are eligible to apply, but the project must be focused on increasing access in the community.
 - The work must be either an expansion to new sites, or a new program/service being offered to a new community.
 - It cannot be used to supplant a project that you would otherwise still be providing.
 - For the purposes of this funding opportunity, United Arts defines "community" as any group that your organization is intentionally working to serve (e.g. members, students, artists, participants, audience, geographic community, ethnic heritage, or cultural traditions).

Entity Specific Project Guidelines

For 501(c)3 Organizations

- Increase access to and participation in arts, culture, history, or science programming within our communities.
- Act as a catalyst for positive change through arts and culture-based community development (creative community development).
- Amplify diverse cultures, voices, and experiences.

For Individual Artists

- For the purposes of this grant, applicants should refer to the following classifications:
 - Emerging Artists Exceptionally talented, early- to mid-career artists who demonstrate a strong interest and a commitment to continued training.
 - Established Artists Exceptionally talented artists who have demonstrated considerable experience in their field, with a substantial body of work.
- Have a public benefit and enrich the community of central Florida by advancing artistic excellence and increasing access to innovative and diverse arts experiences.
- Support activities that further the careers of artists with a professional practice to help them grow and thrive in Lake, Orange, Osceola, and Seminole counties.
- Support specific activities/work such as presentations, training, research, and/or creation of artwork with tangible outcomes.

Deadline & Important Dates

- Application Open: Saturday, June 1, 2024
- Application Deadline: Sunday, July 14, 2024 @ 11:59 pm
- Last Day for Staff Reviews or Application Narrative: Friday, June 28, 2024 @ 3:00 pm
- Last Day for Staff Questions: Wednesday, July 10, 2024 @ 3:00 pm
- Last Day for Staff Questions Regarding Portal Issues: Friday, July 12, 2024 @ 3:00 pm
- Community Grant Review Panels: August 19 23, 2024
- Board Approval: Mid-September 2024
- Notification of Award: Mid-September 2024
- Funding Period: October 1, 2024, September 30, 2025
- Impact Report Due: November 1, 2025 (or 30 days after the project ends)

Maximum Request Amount: \$5,000

- Funding is competitive; not all projects of merit are able to be funded.
- Any applicant whose project will not be possible with partial funding must indicate so in the application.
- United Arts funds may be used to support eligible project related activities within the one-year grant period.
- Applicants will be notified if they include ineligible expenses in their project budget/application.

Organizational Eligibility

- Applicant organizations must have current 501(c)(3) status and current good standing with the state of Florida Division of Corporations as a nonprofit organization. United Arts will verify with at <u>www.irs.gov</u> and <u>sunbiz.org</u>.
- The nonprofit 501(c)(3) must be in Lake, Orange, Osceola or Seminole counties (United Arts uses the principal address listed on an organization's W-9 and Sunbiz registration).
- The nonprofit organization must have a Unique Entity ID through the federal government to receive United Arts Funding (learn more about getting a Unique Entity ID <u>here</u>).
- Faith-based organizations who have 501(c)(3) status as long as the event is a non-faith-based program open to any segment of the population.
- Applicant organizations must be in good standing with any prior United Arts grant or contract reporting.
- Operating Support grantees are eligible to apply, but the project must be focused on increasing access in the community. The work must be either an expansion to new sites, or a new program / service etc., being offered to a new community.
 - For the purposes of this funding opportunity, United Arts defines "community" as any group that your organization is intentionally working to serve (e.g. members, students, artists, participants, audience, geographic community, ethnic heritage, or cultural traditions).

Organization Eligibility Restrictions - Organizations are **NOT** eligible if they are:

- In a period of disbarment with the federal government.
- Organizations that are adjuncts to for-profit organizations. ("Friends of" organizations are eligible to apply only in lieu of the parent nonprofit organization).
- A public or private entity governed by an agency of the state government.
- Fraternal or sports organizations.
- Political causes, candidates, organizations, or campaigns.
- If you currently do not have a <u>Section 504 Self-Evaluation</u> on file, one will have to be completed before funding can be distributed.

In all cases, final eligibility decisions are made by United Arts staff. Approval of a previous project grant funding carries no assurance of continued funding in subsequent years.

Individual Artist Eligibility

- Artists with a professional practice in literary, media technology (including digital artists), visual, and performing arts can apply but must reside in Lake, Orange, Osceola, or Seminole counties. Proof of residency will be required to receive payment.
- Must be a citizen of the United States, or a permanent legal resident¹
- Must plan to reside within one of the four counties listed above throughout the project period, October 1, 2024 September 30, 2025.
- Must be 18 years or older.
- Must not be a United Arts employee or board member.
- Must not be pursuing an undergraduate degree in the same field during the project period.
- Only one application per individual may be submitted for this grant program, per funding cycle. These grants are very competitive. Not every project of merit will be funded.
- In all cases, final eligibility decisions are made by United Arts staff.

Project Eligibility

Projects based in the arts and culture, science or history that take place in Lake, Orange, Osceola, or Seminole counties and are open to the public. Exhibits, performances, festivals, arts education, outreach programs, and art-based community development activities are examples of typical projects. Professional artists must be involved in the planning and execution of each project. For arts education programming, teachers must have an arts background. Social and Human Service Organizations must partner with professional artists and/or arts organizations.

Unallowable activities and costs - This Grant Cannot Fund

- Projects benefiting for-profit organizations.
- Projects outside of Lake, Orange, Osceola, or Seminole counties.
- Projects that replace arts instruction provided by an arts specialist.
- Projects of a religious nature designed to promote or inhibit religious belief and/or practice and that have no basic underlying secular theme or topics.
- Project costs supported by any other federal funding. This includes federal funding received either directly from a federal agency (e.g., National Endowment for the Humanities, Housing and Urban Development, National Science Foundation, or an entity that receives federal appropriations such as the Corporation for Public Broadcasting or Amtrak); or indirectly from a pass-through organization such as a state arts agency, regional arts organization, or a grant made to another entity.

¹ For more information on permanent legal residence, see <u>https://www.uscis.gov/green-card</u>.

- Subgranting or regranting awarded funds.
- Cash reserves and endowments.
- Visa costs paid to the U.S. government.
- Costs for the creation of new organizations.
- Costs incurred before the beginning or after the completion of the official period of performance.
- Costs to bring a project into compliance with federal grant requirements. This includes environmental or historical assessments or reviews and the hiring of individuals to write assessments or reviews or to otherwise comply with the National Environmental Policy Act and/or the National Historic Preservation Act.
- Expenditures related to compensation to foreign nationals and/or travel to or from foreign countries when those expenditures are not in compliance with regulations issued by the U.S. Treasury Department Office of Foreign Assets Control. For further information, see https://ofac.treasury.gov/about-ofac or contact their Office of Grants Management at grants@arts.gov.
- General operating or seasonal support.
- General miscellaneous or contingency costs.
- Stipends/fees to individuals who are incarcerated.
- Contributions and donations to other entities.
- Marketing expenses that are not directly related to the project.
- Fines and penalties, bad debt costs, deficit reduction or any other comparable financial costs.
- Audit costs that are not directly related to a single audit (formerly known as an A-133 audit).
- The purchase of vehicles.
- Rental costs for home office workspace owned by individuals or entities affiliated with the applicant organization.
- Generally, courses/coursework in degree-granting institutions.
- Generally, exhibitions of, and other projects that primarily involve, single, individually owned, private collections.
- Literary publishing that does not focus on contemporary literature and/or writers.
- Voter registration drives and related activities.
- Lobbying, including activities intended to influence the outcome of elections or influence government officials regarding pending legislation, either directly or through specific lobbying appeals to the public.
- Alcoholic beverages.
- Awards to individuals or organizations to honor or recognize achievement.
- Purchase and/or use of gift cards and gift certificates to support project costs.
- Social activities such as receptions, parties, galas, community dinners, picnics, and potlucks.
- Gifts and prizes, including cash prizes as well as other items (e.g., electronic devices, gift certificates) with monetary value.
- Commercial (for-profit) enterprises or activities, including concessions, food, T-shirts, artwork, or other items for resale. This includes online or virtual sales/shops.
- Private events closed to the public and activities restricted to an organization's membership (including school competitions, recitals, and graduations professional competitions are eligible if applications and events are open to the public).

Evaluation Criteria

The application will be scored based on the following criteria:

	Artistic Excellence	Artistic Merit + Community Impact	Financial Management + Board Governance	Potential Career Impact
For 501(c)(3) Organizations	Х	Х	Х	
For Individual Artists	Х	Х		Х

Criteria Category	Definitions	Score Impact	
Artistic Excellence	The recognized impact of the artists, creative process, works of art, organizations, arts education providers, artistic partners, and/or services involved in the project and their relevance to the audience or communities the project aims to serve. Projects with an innovative, boundary expanding, and highly accessible approach that celebrate, employ and/or serve diverse cultures and	5	
Artistic Merit + Community Impact	communities. The value and appropriateness of the project to the organization's mission, artistic field, artists, audience, community, and/or constituency.		
	Evidence of direct compensation to artists, art collectives, and/or art workers.Evidence of the ability to carry out the project based on such factors as the appropriateness of the budget, clarity of the project activities,		
	resources involved, and the qualifications of the project's personnel, partnerships, and collaborations. Clearly defined goals and/or proposed outcomes and an appropriate plan to determine if those goals and/or outcomes are met. This includes, where relevant, measures to assess student and/or teacher learning in arts education.	10	
	Effective and inclusive decision making, commitment and actions furthering Diversity, Equity and Inclusion as it regards program content, community served and/or workers involved. Engagement with individuals whose opportunities to experience the arts are limited by geography, race or ethnicity, economics, or disability.		
	Potential of the project activities to advance local economic, physical, or social outcomes desired by the community. Please feel free to use the links below to help inform your response: o <u>Social Impact</u> o Economic Impact		
Potential Career Impact (for Individual Artists ONLY)	Advancement of artistic career, future work opportunities, portfolio growth, exposure to new and emerging audiences.		
	Expand professional network to include other artists, communities, audiences, and potential patrons. Increase access to studio, gallery, and performance spaces.		
Financial Management + Board Governance (for Organizations ONLY)	Clear and realistic presentation of the proposed budget during the grant period with fiscal responsibility demonstrated by evidence of strong and/or developing financial support from the community including diverse revenue streams (earned, contributed, grants, in-kind donations etc.).	5	
	Adoption and commitment to best practices in board governance including sound nonprofit and financial policies and procedures such as term limits, separation of power, recruitment efforts, approved financial statements and adherence to bylaws.		

Grant Payment Schedule

Grantees will sign an online award agreement and be cleared through the NEA for successful completion of NHPA/NEPA questionnaires, as well as submittal of 504 Self-Evaluation before receiving grant funds. 90% of funds will be issued once award agreements are in place. The final report is due 30 days after the final project, and all expenditures must be complete by this date. The final 10% of funds will be released as reimbursement upon approval of the final report.

Requirements During the Grant Period

- *Request Permission for Changes:* Significant project or budget changes including changes in dates <u>must be</u> <u>requested in advance</u>. Changes may or may not reduce the grant amount, requiring a return of grant funds to United Arts. Contact <u>Jen@UnitedArtsCFL.org</u> with questions or to discuss a potential project change.
- Notification: During the project, grantees must keep <u>Jen@UnitedArtsCFL.org</u> on their email list, renew insurance policies when they expire, and notify United Arts of any contact changes or changes in IRS tax-exempt status. United Arts will add grantees to its email list, including resources and opportunities.
- Publicity: Organizations are strongly encouraged to post their events on our website when the dates and other details have been set. United Arts promotes posted programs through our email newsletter and, if at least two months in advance, the calendar section of <u>Artistry Magazine</u>. To add your event, go to https://calendar.unitedartscfl.org/login/.
- *Legal:* Grantees must comply with fair labor standards and a drug-free workplace, maintain PCI (Payment Card Industry) compliance, provide business EIN or social security number for tax purposes.
- *Insurance:* Best practice industry standards dictate that applicants maintain appropriate insurance coverage.
 - Acquire general liability coverage with a limit of liability of not less than \$500,000 per occurrence.
 - Coverage shall not contain any endorsement(s) excluding or limiting Product/Completed Operations, Contractual Liability, or Separation of Insureds.
 - The General Aggregate limit shall either apply separately to this contract or shall be at least twice the required occurrence limit.
 - Alternatives if your organization does not have general liability insurance.
 - Event Insurance purchased through the venue. Some venues, for example Dr. Phillips Center, allow
 organizations to purchase insurance through them. We would just need a copy of the policy as proof
 of insurance.
 - Event Insurance purchased through an insurance company. Numerous insurance companies provide event or special event insurance. This short-term liability coverage protects your organization during events, festivals, etc. If you do not have a general liability insurance policy, and your venue does not have the option to purchase insurance through them, then this might be an option for you.
- *Electronic payment:* Grantees are required to sign up for payment via ACH (electronic deposit), whereby funds will be directly deposited into the grantee's business account. This provides more prompt delivery of grant funds by alleviating mail time and bank holds. The form to sign up will be available in the award agreement.
- Inclusion: Grantees must provide equal access and opportunity in employment and services and may not discriminate based on race, color, ethnicity, religion, gender, ancestry, national origin, geography, age, varying abilities, pregnancy, sexual orientation, gender identity, marital status, familial status, citizenship status, or socioeconomic status. United Arts expects that the make-up of the staff, board, audience, volunteers, artists, scientists, historians, etc. involved with grantee organizations be inclusive of the diversity of the community and compatible with the organization's mission.
- Acknowledgement: Grantees must acknowledge the grant in all project publicity and materials (whether printed, online, verbal, or other), with the United Arts logo and statement "This project is funded in part by United Arts of Central Florida, your local agency for the arts".
- *Recordkeeping:* Grantees must keep information (including description and photos of the project, press or publicity about the project, including use of logo or acknowledgement statement, audience demographics, and financial records) for the final report. Also, all grantees must keep records about the grant activities and financials for at least five years after the project is completed; such records must be available for audit by United Arts representatives.

• *Final Report:* a final report form will be provided in your online account. This report will be due 30 days after the final project event to receive the final 10% of funds and maintain eligibility for future funding.

Application Tips

For tech support or other questions: Contact <u>Jen@UnitedArtsCFL.org</u> or call 407-628-0333 and ask for Jennifer Paxton.

- Start early!
 - Read these guidelines thoroughly and take note of any questions.
 - Proofread! It can be helpful to have colleagues and other members of your organization review the application before final submission. You can ask for a narrative review by United Arts up to 2 weeks before the deadline.
 - Gather the documents: organization financials (most recent IRS Form 990 or financial statements balance sheet and income/expense statement), support materials (press, flyer/program, patron surveys, link to max 5 minutes of video, etc.) and Letter of Support.
- Save often!
- Submit early!
 - Leave extra time at the end in case you have questions or computer trouble. Missing documents or fields will prevent the submission of the application. *Contact and project information for all grantees will be made available to the press, and United Arts may use quotes or pictures from applications or reports in publicity.*
- Don't stop here!
 - Post your events for free at <u>https://calendar.unitedartscfl.org/login/</u>. Once you create an organizational listing, make sure to ask how to become a featured event, or get discounts listed in the weekly email.

Acknowledgements



This arts grant program for individual artists and nonprofit organizations in Central Florida is supported in part by the National Endowment for the Arts. To find out more about how National Endowment for the Arts grants impact individuals and communities, visit <u>the NEA website</u>.



Thank you to Duke Energy for their support of Individual Artists.

Thank you to the players, sponsors and partners of the Athletes for Artists Golf Tournament for their support of Individual Artists.

Required National Endowment for the Arts Information

• Appendices A, B, & C contain information United Arts is required to share from the National Endowment for the Arts. If awarded, your organization will receive the information in Appendix A as part of its Notice of Action. It will also be part of the Award agreement. Appendices B & C contain grant award management information. Please review in full and contact Jennifer Rae Paxton, Outreach Program Officer by email <u>Jen@UnitedArtsCFL.org</u> or by phone at (407) 628-0333 with any questions.

Appendix A: Required Data Elements for Subaward Notices under 2 CFR 200.332

2 CFR 200.332	SAMPLE RESPONSE	NOTES
(i) Subrecipient name	Dance Council of Birmingham	Legal name of the subrecipient; must
(must match the name		match the entity's name in SAM.gov.
associated with its SAM Unique		
Entity		
Identifier) (ii) Subrecipient's unique	Subrecipient's SAM.gov UEI	The subrecipient's SAM UEI; UEI record
entity identifier (UEI)		must reflect the entity's legal name and
		current physical address.
(iii) Federal Award Identification	xxxxxx-62-21	The NEA award number as included on
Number (FAIN)		the LAA's NEA Notice of Action for the
		prime award.
(iv) Federal Award Date of	May 15, 2021	The award date on the NEA's Notice
award to the recipient by the	1010y 10, 2021	of Action (NOA) for the LAA's prime
federal agency		award.
(v) and (vi) Subaward Period	July 1, 2021 - May 31, 2022	The start and end dates for the subaward
of Performance and Budget		grant period during which allowable
Period		expenses must be incurred. This
		subaward period of performance must
		be <u>within</u> the period of performance for
		the LAA's NEA prime award.
2 CFR 200.332	SAMPLE RESPONSE	NOTES
Total amount of subaward	\$15,000	This is the total amount of the subaward
(includes both non-Federal		to the subrecipient.
and Federal funds, if applicable)		
	e next three items are <i>usually</i> the s	ame amount
(vii) Amount of Federal	\$10,000	This is the amount of federal funds
funds obligated by this		obligated by you if anyto the
action by the pass-through		subrecipient for the subaward. If the
entity to the subrecipient		subaward does not include any federal
	<u> </u>	funds, this amount should be \$0.
(viii) Total Amount of Federal	\$10,000	This is usually the same amount as the
Funds Obligated to the		row above, (i.e., the initial amount of the
subrecipient by the pass-		subaward made with federal funds.)
through entity including the current financial obligation		If you add additional federal funds to
		the subaward later in the grant period
		through an amendment, that amount
		would be
		included here.

(ix) Total Amount of the Federal Award committed to the subrecipient by the pass- through Entity	\$10,000	Total amount of federal funds awarded under this NEA grant.
(x) Federal award project description, as required to be responsive to the Federal Funding Accountability and Transparency Act (FFATA)	To support a subgranting program providing commissioning funds to small and mid-sized dance companies.	The project description included on the NEA's Notice of Action (NOA) for the LAA's NEA prime award.
(xi) Name of Federal awarding agency, pass-through entity, and contact information for awarding official	 National Endowment for the Arts Birmingham Arts Council John Doe, BAC Exec. Director 555-333-5555 email@BAC.gov 	The NEA's name, the LAA's name, and name and contact information for the person authorizing the subaward on the LAA's behalf.
(xii) Assistance Listings number and Title (previously known as the CFDA Number); the pass- through entity must identify the dollar amount made available under each federal award and the Assistance Listings Number at time of disbursement.	45.024 Promotion of the Arts - Grants to Organizations and Individuals	The NEA's Assistance Listings number and title as provided on the Notice of Action for your NEA prime award.
(xiii) Identification of whether the Federal award is R&D	Yes/No.	Identify whether your NEA award supports Research & Development activities. This information is provided on the Notice of Action for your NEA prime award. This is usually a NO answer.
(xiv) Indirect cost rate <u>for the</u> <u>prime federal award</u> (including if the de minimis rate is charged per 2 CFR 200.414)		The indirect cost rate, if any, charged to the approved project budget for the LAA's NEA prime award.
2 CFR 200.332	SAMPLE RESPONSE	NOTES
(xv) Indirect cost rate <u>for the</u> <u>subaward</u> (including if the de minimis rate is charged per 2 CFR 200.414)	The de minimis indirect cost rate is approved.	The indirect cost rate you approve for the subaward, if any. See 3.6 for more detail.
All requirements imposed by the pass-through entity on the subrecipient so that the federal award is used in accordance with federal statutes, regulations and the terms and conditions of the federal award.		

Any additional requirements that the pass-through entity imposes on the subrecipient in order for the pass-through entity to meet its own responsibility to the federal awarding agency including identification of any required financial and performance reports	Your performance and final financial reports are due MM/DD/YYYY.	Provide your subrecipients with a list of required final reports for the subaward and the deadline for receipt. Subrecipients must submit their required final reports to you no later than 90 days from the end of the period of performance for the subaward.
A requirement that the subrecipient permit the pass- through entity and auditors to have access to the subrecipient's records and financial statements as necessary for the pass-through entity to meet the requirements of this part;		
Appropriate terms and conditions concerning closeout of the subaward		

APPENDIX B: 2 CFR 25 Appendix A Award Term

I. SYSTEM FOR AWARD MANAGEMENT AND UNIVERSAL IDENTIFIER REQUIREMENTS

A. Requirement for System for Award Management (SAM)

Unless you are exempted from this requirement under 2 CFR 25.110, as the prime recipient, you must maintain current information and registration in SAM. This includes information on your immediate and highest-level owner and subsidiaries, as well as on all of your predecessors that have been awarded a federal contract or federal financial assistance within the last three years, if applicable, until you submit the final financial report required under this federal award or receive the final payment, whichever is later. This requires that you review and update your entity information in SAM at least annually after the initial registration, and more frequently if required by changes in your information or another federal award term.

B. Requirement for a Unique Entity Identifier

If you are authorized to make subawards under this federal award:

- **1.** You must notify potential subrecipients that they must provide you with their Unique Entity Identifier (UEI) in order to receive a subaward; and
- **2.** You may not issue a subaward to an entity that fails to provide you with its Unique Entity Identifier.

***Note:** subrecipients are not required to register for and/or maintain a full and active SAM registration, but they must obtain a Unique Entity Identifier from SAM.

C. Definitions

For purposes of this term:

- 1. System for Award Management (SAM) means the official website of the U.S. government where an entity can register to do business with the federal government. Additional information may be found at https://www.sam.gov.
- **2.** Unique Entity Identifier means the identifier assigned to uniquely identify business entities. On and after April 4, 2022, this is a UEI assigned by SAM.gov.
- **3.** Entity includes non-federal entities as defined at 2 CFR 200.1 and also includes all of the following, for purposes of this part:
 - a. A foreign organization;
 - **b.** A foreign public entity;
 - c. A domestic for-profit organization; and
 - d. A federal agency.
 - e. Subaward has the meaning given in 2 CFR 200.1.
 - f. Subrecipient has the meaning given in 2 CFR 200.1

APPENDIX C: National Policy and Other Legal Requirements, Statutes, and Regulations that Govern Your Award

You must ensure that the funded project is implemented in full accordance with the U.S. Constitution, federal law, and public policy requirements: including, but not limited to, those protecting free speech, religious liberty, public welfare, the environment, and prohibiting discrimination (2 CFR 200.300).

As a registrant with SAM.gov, in most cases, you have already self-certified to the "Financial Assistance General Certifications and Representations," including attesting to the accuracy of the certification and acknowledging that you may be subjected to criminal prosecution under Section 1001, Title 18 USC, or civil liability under the False Claims Act if you have misrepresented the information. A copy of this Financial Assistance Certifications Report is available in your SAM.gov entity registration record.

1. Nondiscrimination Policies

As a condition of receipt of federal financial assistance, you acknowledge and agree to execute your project, and require any contractors, successors, transferees, and assignees to comply with applicable provisions of national laws and policies prohibiting discrimination, including but not limited to:

- 1.a Title VI of the Civil Rights Act of 1964, as amended, and implemented by the National Endowment for the Arts at 45 USC 1110, provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied benefits of, or be subject to discrimination under any program or activity receiving federal financial assistance. Title VI also extends protection to persons with limited Englishproficiency (42 USC 2000d et seq.)
- 1.b As clarified by Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, national origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with Title VI, you must takereasonable steps to ensure that LEP persons have meaningful access to your programs. Meaningful access may entail providing language assistance services, including oral and written translation, where necessary. You are encouraged to consider the need for language services for LEP persons in conducting your programs and activities. For assistance and information go to www.arts.gov/foia/reading-room/nea-limited-english-proficiencypolicy-guidance.
- **1.c** Title IX of the Education Amendments of 1972, as amended, provides that no person in the United States shall, on the basis of sex, be excluded from participation in, be denied benefits of, or be subject

to discrimination under any education program or activity receiving federal financial assistance (20 USC 1681 et seq.)

- **1.d** The Age Discrimination Act of 1975, as amended, provides that no personin the United States shall, on the basis of age, be excluded from participation in, be denied benefits of, or be subject to discrimination under any program or activity receiving federal financial assistance (42 USC 6101 et seq.)
- 1.e The Americans with Disabilities Act of 1990 (ADA), as amended, prohibits discrimination on the basis of disability in employment (Title I); State and local government services (Title II); and places of public accommodation and commercial facilities (Title III) (42 USC 12101-12213).
- **1.f** Section 504 of the Rehabilitation Act of 1973, as amended, provides that no otherwise qualified individual with a disability in the United States shall, solely by reason of his/her disability, be excluded from participation in, be denied benefits of, or be subject to discrimination under any program or activity receiving federal financial assistance (29 USC 794).

Access should be integrated into all facets and activities of an organization, from day to day operations to long range goals and objectives. Access accommodations and services should be given a high priority and funds should be available for these services. All organizations are legally required to provide reasonable and necessary accommodations for staff and visitors with disabilities.

Section 504 - Self-Evaluation and Additional Resources

- i. A Section 504 self-evaluation *must* be on file at your organization. To help your organization evaluate its programs, activities, and facilities with regard to Section 504 accessibility requirements, the Civil Rights Office has a *Section 504 Self Evaluation Workbook* available on our website.
- ii. You should designate a staff member to serve as a 504 Coordinator. The completed workbook or similar compliance and supporting documentation should be kept on file for a period of three (3) years from the date the Federal Financial Report (FFR) is filed, and made available to the public and the NEA upon request. The NEA may request the 504 Workbook or your compliance documents for various potential scenarios including an Inspector General audit and/or civil rights investigation.
- iii. Design for Accessibility: A Cultural Administrator's Handbook provides guidance on making access an integral part of an organization's staffing, mission, budget, and programs. This Handbook and other resources may be downloaded from the NEA website. If you have questions, contact the Office of Accessibility at accessibility@arts.gov; (202) 682-5532; FAX (202) 682-5715; or TTY (202) 682-5496.

2. Environmental and Preservation Policies

- 2.a The National Environmental Policy Act of 1969, as amended, applies to any federal funds that would support an activity that may have environmental implications. We may ask you to respond to specific questions or provide additional information in accordance with the Act. If there are environmental implications, we will determine whether a categorical exclusion may apply; to undertake an environmental assessment; or to issue a "finding of no significant impact," pursuant to applicable regulations and 42 USC Sec. 4332.
- 2.b The National Historic Preservation Act of 1966, as amended, applies to any federal funds that support

activities that have the potential to impact any structure eligible for or on the National Register of Historic Places, adjacent to a structure that is eligible for or on the National Register of Historic Places, or located in a historic district, in accordance with Section 106. This also applies to planning activities that may affect historic properties or districts. We will conduct a review of your project activities, as appropriate, to determine the impact of your project activities on the structure or any affected properties. Agency review must be completed prior to any agency funds being released. You may be asked to provide additional information on your project to ensure compliance with the Act at any time during your award period (16 USC 470).

Other National Policies

3. <u>Debarment and Suspension</u>. You must comply with requirements regarding debarment and suspension in Subpart C of 2 CFR Part 180, as adopted by the NEA in 2 CFR 3254.10.

There are circumstances under which we may receive information concerning your fitness to carry out a project and administer federal funds, such as:

- i. Conviction of, or a civil judgment for, the commission of fraud, embezzlement, theft, forgery, or making false statements;
- **ii.** Any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;
- iii. Any other cause of so serious or compelling a nature that it affects an organization's present responsibility.

In these circumstances, we may need to act quickly to protect the interest of the government by suspending your funding while we undertake an investigation of the specific facts. We may coordinate our suspension actions with other federal agencies that have an interest in our findings. A suspension may result in your debarment from receiving federal funding government-wide for up to three (3) years.

4. <u>The Drug Free Workplace Act</u> requires you to publish a statement about yourdrug-free workplace program. You must give a copy of this statement to each employee (including consultants and temporary personnel) who will be involved in award-supported activities at any site where these activities will be carried out.

You must maintain on file the place(s) where work is being performed under this award (i.e., street address, city, state, and zip code). You must notify the NEA Office of Grants Management of any employee convicted of a violation of a criminal drug statute that occurs in the workplace (41 USC 701 et seq. and 2 CFR Part 3256).

5. <u>Lobbying</u>. You may not conduct political lobbying, as defined in the statutes and regulations listed below, within your federally-supported project. In addition, you may not use federal funds for lobbying specifically to obtain awards. For definitions and other information on these restrictions, refer to the following:

5.1 No part of the money appropriated by any enactment of Congress shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegram, telephone, letter, printed or written matter, or other device, intended or designed to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law, ratification, policy, or appropriation, whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy, or appropriation; but this shall not prevent officers or employees of the United

States or of its departments or agencies from communicating to any such Member or official, at his request, or to Congress or such official, through the proper official channels, requests for any legislation, law, ratification, policy, or appropriations which they deem necessary for the efficient conduct of the public business, or from making any communication whose prohibition by this section might, in the opinion of the Attorney General, violate the Constitution or interfere with the conduct of foreign policy, counter-intelligence, intelligence, or national security activities (18 USC1913).

- **5.2** Lobbying (2 CFR 200.450) describes the cost of certain influencing activities associated with obtaining grants, contracts, cooperative agreements, or loans as an unallowable project cost. The regulation generally defines lobbying as conduct intended to influence the outcome of elections or to influence elected officials regarding pending legislation, either directly or through specific lobbying appeals to the public.
- 5.3 Certification Regarding Lobbying to Obtain Awards. Section 319 of Public Law 101-121, codified at 31 USC 1352, prohibits the use of federal funds in lobbying members and employees of Congress, as well as employees of federal agencies, with respect to the award or amendment of any federal grant, cooperative agreement, contract, or loan. While non-federal funds may be used for such activities, they may not be included in your project budget, and their use must be disclosed to the awarding federal agency. Disclosure of lobbying activities by long-term employees (employed or expected to be employed for more than 130 days) is, however, not required. In addition, the law exempts from definition of lobbying certain professional and technical services by applicants and awardees.

6. <u>Davis-Bacon and Related Acts (DBRA), as amended</u>, requires that each contract over \$2,000 to which the United States is a party for the construction, alteration, or repair of public buildings or public works (these activities include, but are not limited to, painting, decorating, altering, remodeling, installing pieces fabricated off-site, and furnishing supplies or equipment for a work- site) must contain a clause setting forth the minimum wages to be paid to laborers and mechanics employed under the contract. Under the provisions of DBRA, contractors or their subcontractors must pay workers who qualify under DBRA no less than the locally prevailing wages and fringe benefits paid on projects of a similar character.

Information about the laborers and projects that fall under DBRA can be found in the U.S. Department of Labor's Compliance Guide at <u>www.dol.gov/compliance/guide/dbra.htm</u>. DBRA wage determinations are to be used in accordance with the provisions of Regulations, <u>29 CFR Part 1</u>, <u>Part 3</u>, and <u>Part 5</u>, and with DOL's Compliance Guide. The provisions of DBRA apply within the 50 states, territories, protectorates, and Native American nations (if the labor is completed by non- tribal laborers).

7. <u>The Native American Graves Protection and Repatriation Act of 1990</u> applies to anyorganization that controls or possesses Native American human remains and associated funerary objects and receives federal funding, even for a purpose unrelated to the Act (25 USC 3001 et seq.).

8. <u>U.S. Constitution Education Program</u>. Educational institutions (including but not limited to "local educational agencies" and "institutions of higher education") receiving federal funds from any agency are required to provide an educational program on the U.S. Constitution on September 17 (P.L. 108-447, Division J, Sec. 111(b)). For more information on how to implement this requirement and suggested resources, see www2.ed.gov/policy/fund/guid/constitutionday and <u>http://thomas.loc.gov/teachers/constitution.html</u>.

9. <u>Prohibition on use of funds to ACORN or its subsidiaries</u>. None of the federal or cost share/matching funds expended for your awarded project may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries (P.L. 111-88 Sec. 427).